

Attorney Docket No. INBI-003/03US

PATENT

1631
#3
3-28-02

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on January 28, 2002.

By:

Vikki L. Athen

Vikki L. Athen

TECH CENTER 1600/2900

FEB 15 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of LEHRER et al.

Serial No.: 09/865,943

Examiner: Not Yet Assigned

Confirmation No.: 7913

Art Unit: 1631 -

Filed: May 24, 2001

For: PAREVINS AND TACHYTEGRINS

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF REVOCATION AND NEW POWER BY ASSIGNEE

Enclosed is the following document for the above-identified application:

- ☒ Revocation and New Power by Assignee with Statement Under 37 CFR §3.73(b) statement
- ☒ Return postcard

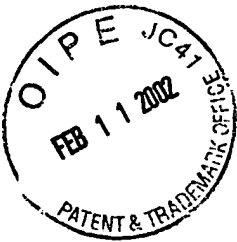
Cooley Godward LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663

Respectfully submitted,
COOLEY GODWARD LLP

By:

Ann M. Caviani Pease

Ann M. Caviani Pease
Reg. No. 42,067



**REVOCATION AND NEW POWER
BY ASSIGNEE**

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The Assignee of the entire right, title, and interest in the above-identified application hereby revokes all previously granted powers and grants the registered practitioners of Cooley Godward LLP included in the Customer Number provided below power to act, prosecute, and transact all business in the U.S. Patent and Trademark Office in connection with the captioned application, any applications claiming priority to the captioned application, and any patents issuing therefrom. A Certificate under 37 C.F.R. §3.73(b) is enclosed.

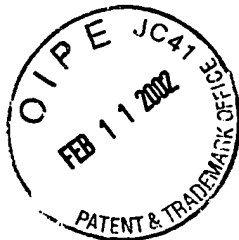
Please direct all telephone calls and correspondence to:

COOLEY GODWARD LLP
ATTN: Patent Group
Five Palo Alto Square
3000 El Camino Real
Palo Alto, CA 94306-2155
Tel: (650) 843-5000
Fax: (650) 857-0663

CUSTOMER NUMBER: **23419**

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 1/22/02 Signature: Natalie L. McClure
Name: Natalie L. McClure
Title: Vice President, Product Development
Company: IntraBiotics Pharmaceuticals, Inc.



STATEMENT UNDER 37 C.F.R. § 3.73(b)

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 FEB 15 2002
 TECH CENTER

IntraBionics Pharmaceuticals, Inc. states that it is the assignee of the entire right, title, and interest in the patent applications/patents identified below by virtue of either the assignments or the chains of title listed below:

SERIAL NO./ PATENT NO.	FILING DATE	TITLE	REEL/FRAME
08/562,346	November 22, 1995	FINE-TUNED PROTEGRINS	8238/0973
08/649,811	May 17, 1996	FINE-TUNED PROTEGRINS	8159/0985 8159/0991
08/690,921	August 1, 1996	FINE-TUNED PROTEGRINS	8197/0588 8197/0595 8196/0518
08/752,852 5,994,306	November 21, 1996	FINE-TUNED PROTEGRINS	8516/0289 8586/0505 8586/0532
08/752,853 6,025,326	November 21, 1996	FINE-TUNED PROTEGRINS	8586/0601
09/368,009	August 3, 1999	FINE-TUNED PROTEGRINS	recorded in 08/752,853 8514/0489
09/495,210	January 31, 2000	FINE-TUNED PROTEGRINS	recorded in 08/752,853 8514/0489
08/674,622	July 3, 1996	PAREVINS AND TACHYTEGRINS	8331/0528 8331/0533 8331/0567
09/128,344 6,307,016	August 3, 1998	PAREVINS AND TACHYTEGRINS	9535/0980 9536/0029
09/865,943	May 24, 2001	PAREVINS AND TACHYTEGRINS	recorded in 09/128,344 9535/0980 9536/0029
08/984,294 6,043,220	December 3, 1997	THREONINE-CONTAINING PROTEGRINS	9543/0298 9543/0435 9543/0793
60/207,659	May 31, 2000	AMIDE DERIVATIVES OF POLYENE MACROLIDES AND PREPARATION AND USES THEREOF	12139/0054
60/219,059	July 17, 2000	DERIVATIVES OF LASPARTOMYCIN AND PREPARATION AND USE THEREOF	12081/0452
60/220,950	July 26, 2000	DERIVATIVES OF LASPARTOMYCIN AND PREPARATION AND USE THEREOF	12081/0444
09/760,328	January 12, 2001	DERIVATIVES OF LASPARTOMYCIN AND PREPARATION AND USE THEREOF	11476/0937

SERIAL NO/ PATENT NO.	FILING DATE	TITLE	REEL/FRAME
09/904,756	July 13, 2001	ANTIMICROBIAL SULFONAMIDE DERIVATIVES OF LIPOPEPTIDE ANTIBIOTICS	12151/0226
09/904,352	July 13, 2001	DERIVATIVES OF LASPARTOMYCIN AND PREPARATION AND USE THEREOF	12150/0683
60/286,254	April 24, 2001	EXTRACTIVE PURIFICATION OF LIPOPEPTIDE ANTIBIOTICS	12080/0506
09/948,374	September 6, 2001	EXTRACTIVE PURIFICATION OF LIPOPEPTIDE ANTIBIOTICS	12157/0438
08/685,589 5,916,872	July 24, 1996	CYCLIC PEPTIDES HAVING BROAD SPECTRUM ANTIMICROBIAL ACTIVITY	8327/0851
09/340,852	June 28, 1999	CYCLIC PEPTIDES HAVING BROAD SPECTRUM ANTIMICROBIAL ACTIVITY	recorded in 08/685,589 8327/0851

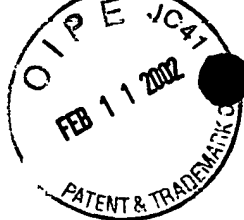
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: 1/22/02

Natalie L. McClure
Signature

Natalie L. McClure
Typed or printed name

VP, Product Development
Title



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FEBRUARY 17, 1999

PTAS

PENNIE & EDMONDS, LLP
SAMUEL B. ABRAMS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036



100864804A

8067-053

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/20/1998

REEL/FRAME: 9535/0980
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
CHANG, CONWAY C.

DOC DATE: 10/02/1998

ASSIGNOR:
GU, CHEE L.

DOC DATE: 10/02/1998

ASSIGNEE:
INTRABIOTICS PHARMACEUTICALS, INC.
1245 TERRA BELLA
MOUNTAIN VIEW, CALIFORNIA 94043

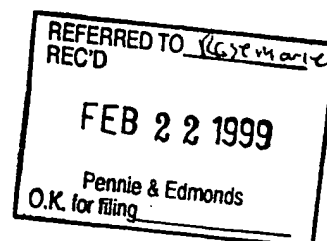
SERIAL NUMBER: 09128344

PATENT NUMBER:

FILING DATE: 08/03/1998
ISSUE DATE:

JEEVON JONES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

1999
FEB 22 2 44
CA OFFICE



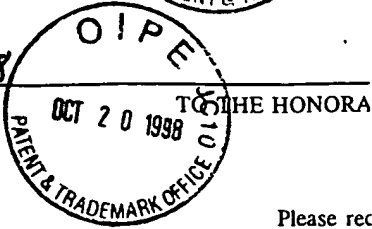
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RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket Number
8067-0053-999

10-30-1998

TRADEMARKS



100864804

:reof.

1. Name of conveying party(ies):

Conway C. Chang, Chee L. Gu

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: IntraBiotics Pharmaceuticals, Inc.Address: 1245 Terra BellaMountain View, California 94038

Country (if other than USA):

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Dates: October 2, 1998,
October 2, 1998, respectively

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/128,344

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00 E
Please charge to the deposit account listed in Section 8.

8. Deposit account number:
16-1150

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel B. Abrams 30,605
Name of Person Signing Reg. No.

Signature

October 20, 1998
Date

10/29/1998 DNGUYEN 00000038 161150 09128344

Total number of pages including cover sheet:

2

01 PG-581 40.00 CH

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

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FEB 15 2002
1600/2900

ASSIGNMENT

WHEREAS, WE, CONWAY C. CHANG, citizen of the United States, CHEE L. GU, citizen of the United States (herein called "ASSIGNORS"), respectively residing at 69 Cumberland Street, San Francisco, California 94110, 12134 Beauchamps Lane, Saratoga, California 95070, are inventors of the invention in PAREVINS AND TACHYTEGRINS for which we have executed an application for a Patent of the United States

- ☐ which is executed on ☐ even date herewith or ☐ _____ (date)
☒ which is identified by Pennie & Edmonds LLP docket no. 8067-0053-999
☒ which was filed on August 3, 1998, Application No. 09/128,344

and WHEREAS, INTRABIOTICS PHARMACEUTICALS, INC., a Delaware corporation, having a principal place of business at 1245 Terra Bella, Mountain View, California 94043, (hereinafter "ASSIGNEE") is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date Oct 2, 1998 Conway C. Chang L.S.

State of California)
County of Santa Clara) SS.:

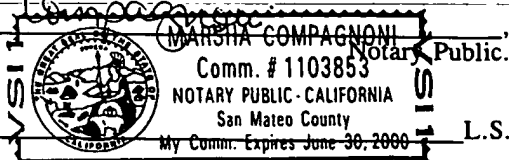
On this 2nd day of October, 1998, before me a Notary Public in and for the State and County aforesaid, personally appeared Conway C. Chang to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



Date 10-2-98, 1998 Chee L. Gu L.S.

State of California)
County of Santa Clara) SS.:

On this 2nd day of October, 1998, before me, a Notary Public in and for the State and County aforesaid, personally appeared Chee L. Gu to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.



Marsha Compagnoni

Notary Public.

FEBRUARY 17, 1999

PENNIE & EDMONDS LLP
SAMUEL B. ABRAMS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 10036



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UNITED STATES DEPARTMENT OF COMMERCE
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RECORDATION DATE: 10/20/1998

REEL/FRAME: 9536/0029
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

REGENTS OF THE UNIVERSITY OF
CALIFORNIA

DOC DATE: 09/09/1998

ASSIGNEE:

INTRABIOTICS PHARMACEUTICALS, INC.
1245 TERRA BELLA
MOUNTAIN VIEW, CALIFORNIA 94043

SERIAL NUMBER: 09128344

FILING DATE: 08/03/1998

PATENT NUMBER:

ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

WW2-244

REFERRED TO <u>Rosemarie</u> REC'D
FEB 22 1999
Pennie & Edmonds O.K. for filing

RECORDATION FORM COVER SHEET
PATENTS ONLY

Attorney Docket Number

8067-0053-999

MRO 10-20-98

10-30-1998

TRADEMARKS



100864805

hereof.

1. Name of conveying party(ies):

Regents of the University of
California

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Dates: September 9, 1998

2. Name and address of receiving party(ies):

Name: IntraBiotics Pharmaceuticals, Inc.

Address: 1245 Terra Bella
Mountain View, California 94043

Country (if other than USA):

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/128,344

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, NY 10036

6. Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00 €
Please charge to the deposit account listed in Section 8.

8. Deposit account number:
16-1150

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Samuel B. Abrams 30,605
Name of Person Signing Reg. No.

Signature

October 20, 1998
Date

Total number of pages including cover sheet:

2

10/29/1998 DNGUYEN 00000039 161150 09128344

01 FC:581

40.00 CH

Mail documents to be recorded with required cover sheet information to:
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Washington, D.C. 20231

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR,

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California corporation having statewide administrative offices at 111 Franklin St., Fifth Floor, Oakland, CA 94607-5200 acting through UCLA Sponsored Research, Business Research Partnerships, located at 1401 Ueberroth Bldg., Box 951525, Los Angeles, California 90095-1525

hereby sells, assigns and transfers to ASSIGNEE, INTRABIOTICS PHARMACEUTICALS, INC., a Delaware corporation, having a principal place of business at 1245 Terra Bella, Mountain View, California 94043, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

PAREVINS AND TACHYTEGRINS

and which is found in

- (a) _____ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) _____ U.S. patent application filed herewith and listing the above named persons as inventors
- (c) ☒ U.S. application serial no. 09/128,344, filed on August 3, 1998
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

9th day of September, 1998

ASSIGNOR: The Regents of the University of California

Cheryl Silverman
Cheryl Silverman
Patent Prosecution Analyst